

Compliance Committee WebEx Meeting  
Sunday, May 3, 2020 – 7:00 P.M. CST

**Attendees:** Ken Shelton – Committee Chair, Christina Gerrish, Gloria Manning, Linda Lavelle, Jessica Gaudy, Kim Hughes, Grant Schnell, Eric Steele – Executive Director, Tina Brendle – recording secretary.

Ken Shelton called the meeting to order at 7:05 P.M. CST.

A discussion was had regarding the process by which a complaint is handled by the compliance committee with both Ken Shelton and Eric Steele explaining the process to the members of the committee currently, on where we have been and where we have got to.

Some committee members felt our processes were not lined out clearly or transparent enough. Additionally, there was some concern as to whether our current USARS bylaws and Code of Ethics were specific enough to address the committee's processes on making disciplinary decisions and dealing with conflicts of interest.

- Grant Schnell motioned that there be the creation of a subcommittee, of Curt Craton, Linda Lavelle and Jessica Gaudy, to review the by-laws and to put together guidelines for our Compliance Committee to function.

Eric Steele added that any changes brought by the subcommittee need to be looked at by the USOPC to ensure we are still in compliance with them.

Jessica Gaudy seconded

Motion approved unanimously

Further discussion was had by the committee regarding the committee's policies and procedures, or lack thereof.

- Kim Hughes motioned to have Grant Schnell spearhead the operation to look at the policies and procedures of the committee, specific to taking on a case and setting the guidelines for process.

Linda Lavelle seconded

Motion approved unanimously

- Kim Hughes requested that the committee receive all the documents related to the compliance committee. Tina Brendle will send the committee minutes, by-laws and code of ethics to the committee.

Grant Schnell felt that we should be proactive and research the availability of training or free online classes that sets forth 501c3 organization compliance committee recommendations. Linda Lavelle graciously suggested Jessica Gaudy do the research for such training or classes.

Finally it was agreed that it be best for the committee to hold at least 1-2 meetings per competitive season that would be non-hearing related, and focused fully on meeting the expectations defined in the bylaws, while also assuring directives from the Board of Directors are being met.

Ken Shelton motioned to adjourn the meeting

Grant Schnell seconded

Meeting adjourned at 8:28 P.M. CST