United States Olympic Committee

United States Olympic Committee SafeSport Audit of USA Track and Field

October 2017

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To consider the report in its entirety, please refer also to the detailed management response that will appear here [Governance Documents] within 90 days of the date of this report.
Executive Summary

**Summary Observations**

It shall be the policy of the United States Olympic Committee (USOC) that each National Governing Body (NGBs) adopt a Minimum Standards Policy for Athlete Safety Programs (Athlete Safety Standards) by December 31, 2013.

We noted the following opportunities to enhance the design of USA Track and Field’s (USATF) compliance with the Athlete Safety Standards:

> **Athlete Safety Standards - Application of the SafeSport Policy (Minors)** - USATF’s policy states, “Those participants who have direct contact with or supervision over minor participants (staff members, board members, association board members, committee chairs, coaches, officials, youth volunteers, and athlete representatives) shall complete appropriate awareness training about child physical and sexual abuse and other types of misconduct before having contact with youth participants.” USATF’s application of this policy is inconsistent with the requirements listed in the Athlete Safety Standards and may be focused too narrowly on minors. The Athlete Safety Standards do not limit these activities to include only minors.

**Background and Approach**

USOC engaged Baker Tilly Virchow Krause (Baker Tilly), to assist the USOC in the completion of SafeSport audits, to assess compliance with SafeSport policies and procedures at the USOC and all of its NGBs and High Performance Management Organizations (HPMOs).

Baker Tilly performed a review of USATF to evaluate compliance with the Athlete Safety Standards. The following activities were performed for this review of USATF:

> Developed and executed an audit program that included:
  - Holding a virtual entrance meeting to discuss and document USATF’s SafeSport program and processes.
  - Selecting a sample of ten from the required individuals to ensure a background check was performed and education and training was completed. See Appendix A for a list of documents reviewed.
  - Reviewing USATF’s athlete safety policy and determining whether the following was addressed:
    ▪ Required misconduct is prohibited and defined;
    ▪ Reporting procedures are documented; and

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2 Effective June 20, 2017 the USOC replaced the Athlete Safety Standards with the NGB Athlete Safety Policy. Due to the timing of this audit, fieldwork was performed in accordance with the Athlete Safety Standards.
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- The grievance process is documented and complies with Athlete Safety Standards.
  - Identifying which individuals are required to undergo a criminal background check and complete education and training.

> Conducted a virtual exit meeting, if requested, following delivery of the draft report, to discuss audit findings and recommendation(s) with USATF. See Appendix B for a list of individuals interviewed.

> Identified specific observations and recommendations regarding opportunities to enhance compliance with Athlete Safety Standards. Observations include the following attributes: criteria, condition, cause, effect and recommendation, as set out in the International Professional Practices Framework (IPPF) Standards and Practice Advisory 2410-1.
The tables below represent opportunities to enhance the design and effectiveness of USATF’s compliance with the Athlete Safety Standards.

### 1. Athlete Safety Standards - Application of the SafeSport Policy (Minors)

<table>
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<tr>
<th>Criteria</th>
<th>The Athlete Safety Standards state each NGB/HPMO shall require criminal background checks and education and training for those individuals it formally authorizes, approves, or appoints (a) to a position of authority over, or (b) to have frequent contact with athletes.</th>
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<td>Condition</td>
<td>USATF’s policy states, “Those participants who have direct contact with or supervision over minor participants (staff members, board members, association board members, committee chairs, coaches, officials, youth volunteers, and athlete representatives) shall complete appropriate awareness training about child physical and sexual abuse and other types of misconduct before having contact with youth participants.” USATF’s application of this policy is inconsistent with the requirements listed in the Athlete Safety Standards and may be focused too narrowly on minors. The Athlete Safety Standards do not limit these activities to include only minors.</td>
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<td>Cause</td>
<td>USATF may not have updated its SafeSport-related documentation and administrative materials to align with requirements in the Athlete Safety Standards.</td>
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<td>Effect</td>
<td>USATF may not be in compliance with the Athlete Safety Standards. USATF must be in compliance with the Athlete Safety Standards to be a member in good standing. Noncompliance with the Athlete Safety Standards can result in disciplinary action by the USOC including withdrawal of high performance funding. Additionally, stakeholders (e.g., coaches, staff, officials, volunteers, etc.) may not know that criminal background checks and education and training requirements apply to them or be informed of SafeSport initiatives and the related misconduct areas. Also, athletes may have contact with individuals who are unaware of SafeSport misconduct and the potential impact to athletes’ well-being and/or have contact with individuals who have a criminal history, which could put athletes at SafeSport-related misconduct risk.</td>
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<td>Recommendation</td>
<td>USATF must require that individuals it formally authorizes, approves, or appoints (a) to a position of authority over, or (b) to have frequent contact with athletes complete criminal background checks and education and training requirements in a timely manner and before they have contact with athletes to provide assurance that they are educated on the requirements of the Athlete Safety Standards. Compliance with these requirements must be completed within 90 days of receipt of the final audit report.</td>
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<td>Management response</td>
<td>We agree with the condition and recommendation and have updated our policies accordingly to comply with the Athlete Safety Standards. The updated policy can be found on our website as of September 18, 2017.</td>
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Report Appendices
Appendix A: Documents Reviewed

We reviewed the following documents:

- Athlete Safety Standards Program Questionnaire
- Population listing of “required individuals” (i.e., covered individuals required to undergo background check and training)
- USATF Bylaws and Operating Regulations (2017)
- USATF SafeSport Handbook
Appendix B: Personnel Interviewed

We interviewed the following personnel:

- Sarah Austin, Legal Affairs Manager
- Desiree Friedman, Associate Director of Constituent Services
- Renee Washington, Chief Operating Officer
- Norm Wain, General Counsel / Chief of Business Affairs